

# unmixR: Hyperspectral Unmixing in R

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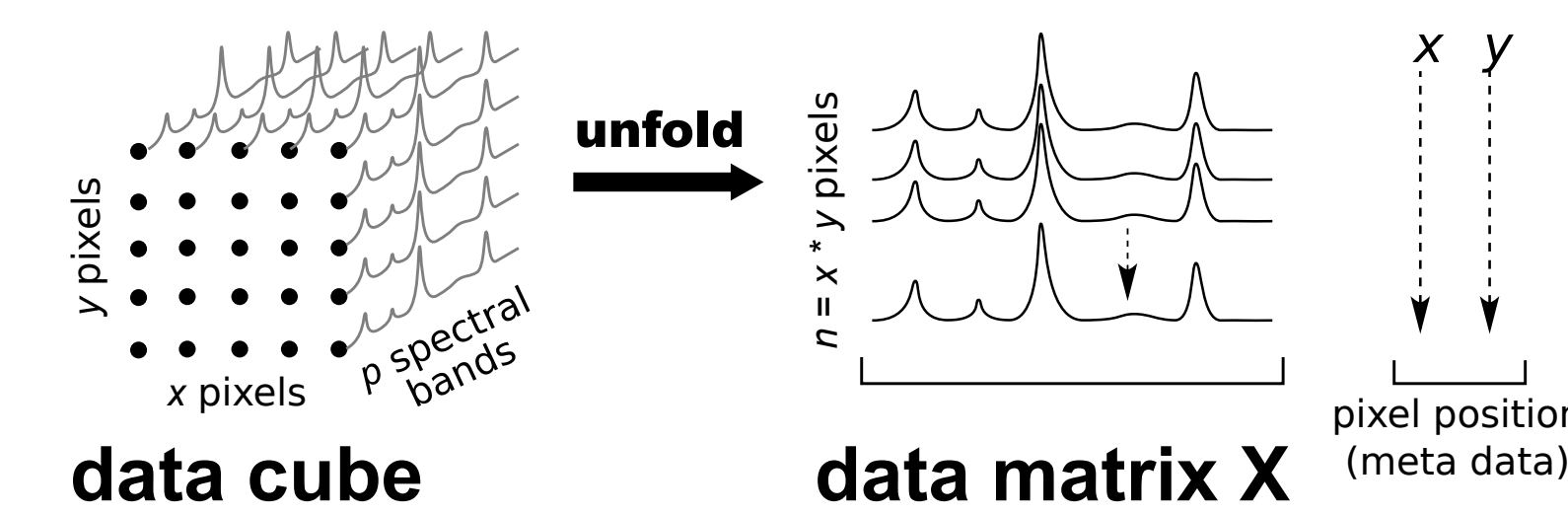
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## Hyperspectral Imaging

**Hyperspectral images** are 3D data sets of spectra collected over an  $x, y$  grid.



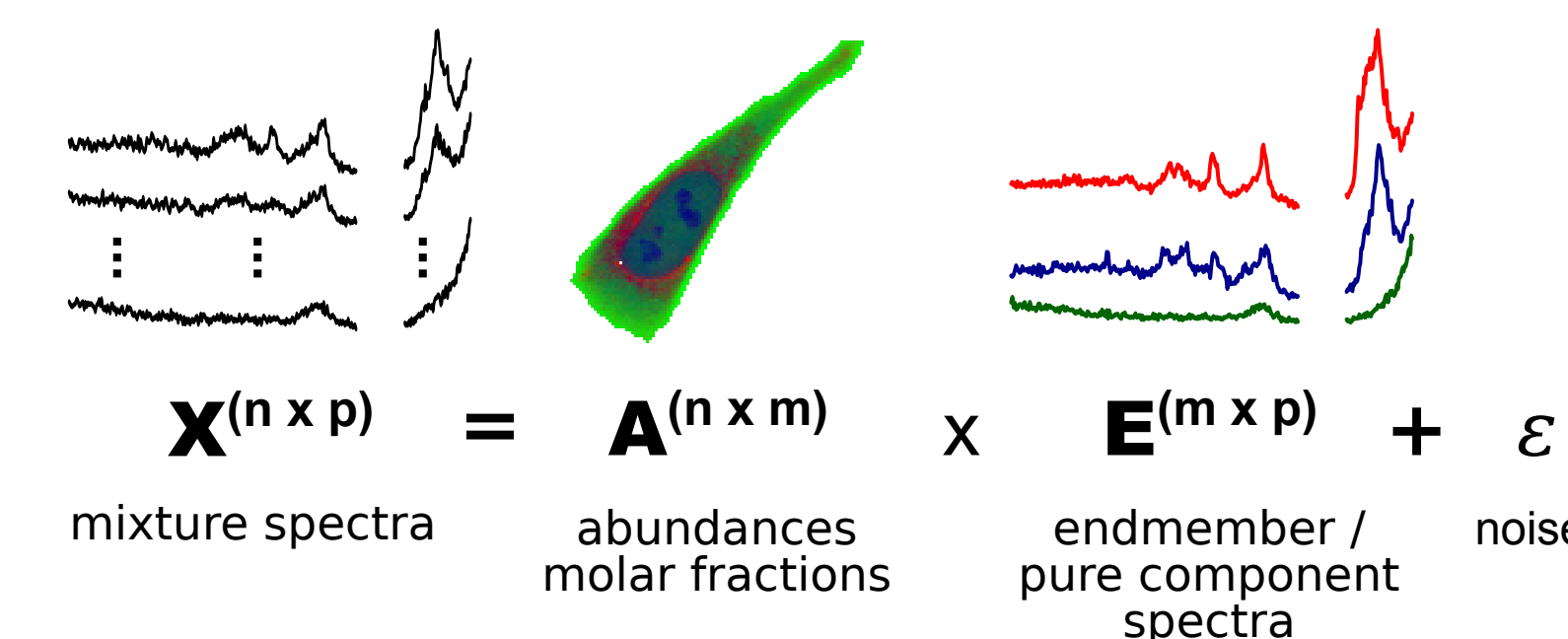
**Applications:** remote sensing/ airborne or satellite land imaging, biomedical microspectroscopy and art history investigations

**Spectra:** e.g. visible, near-infrared, mid-infrared, or Raman spectra.

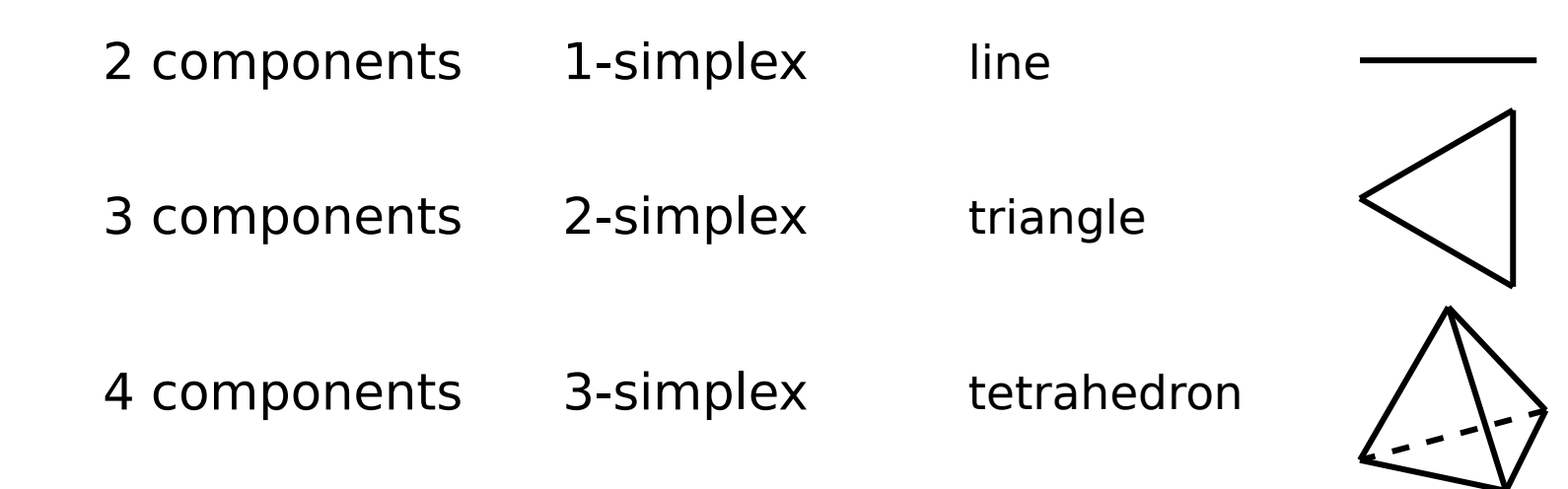
## Spectral Unmixing

Identify  $m$  pure component spectra in data, then derive respective concentrations.

**Bilinear statistical model:**



Mixture diagram for  $m$  components:  $(m - 1)$ -simplex in  $m - 1$  dimensions (**A**).



Vertices are pure component spectra.

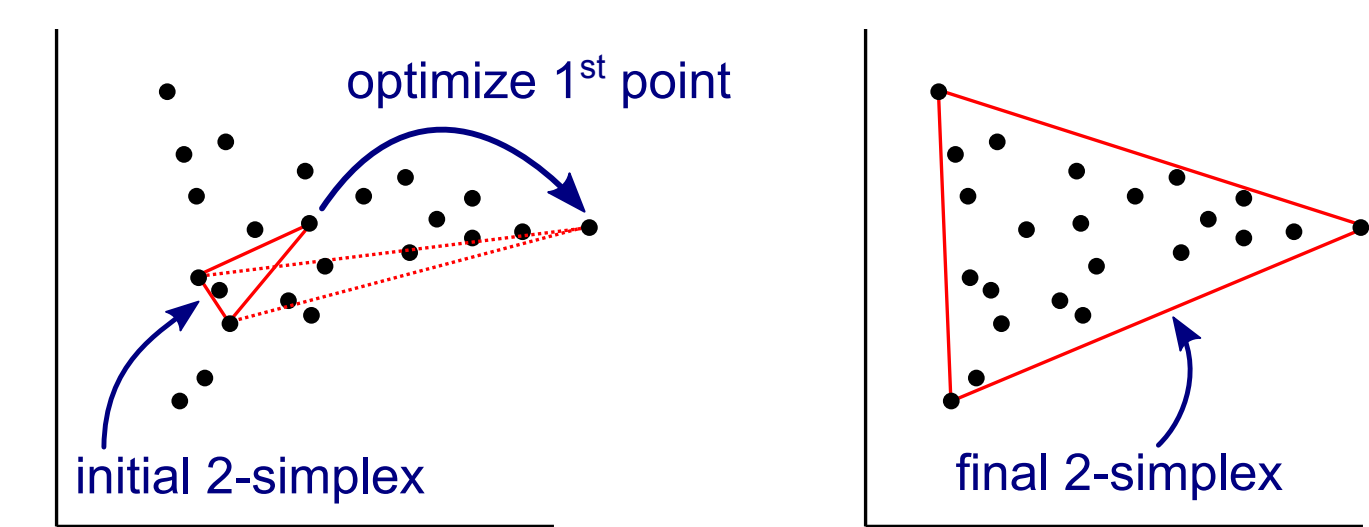
**Assumptions:**

- Data consists of *mixture* spectra
- Spectra of pure components are available somewhere in the data **X**
- Not too much noise on measurements (possibly after PCA)
- (Other methods relax assumptions 2 and 3)
- Number of pure components  $m$  ("chemical rank") provided by user input
- Abundances subject to non-negativity constraint

## N-FINDR Algorithm

**Heuristic:** find  $m$  spectra within data set that span  $(m - 1)$ -simplex with *largest volume*

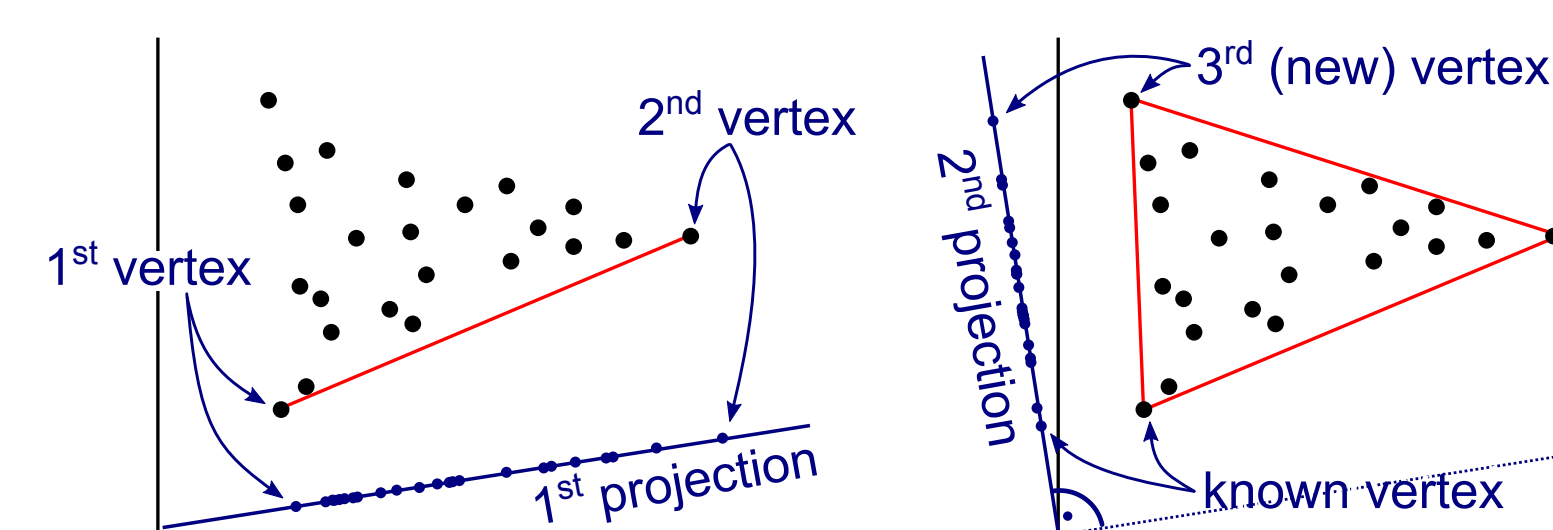
1. Project **X** into  $(m - 1)$ -dimensional space (typically by PCA)
2. Initialize simplex with  $m$  arbitrary points
3. Iteratively grow simplex:  
For each vertex point in turn:  
exchange by point that maximizes simplex volume (keeping the other  $m - 1$  points constant)
- Iterate/refine until convergence
4. Return corresponding spectra of **X** as endmembers
5. predict abundances by non-negative least squares [nnls] on found endmembers



## VCA Algorithm

**Heuristic:** projection of points onto arbitrary direction will always have 2 of the  $m$  vertices as maximum and minimum.

1. Project **X** into  $(m - 1)$ -dimensional space if data is considered too noisy
2. Project **X** onto arbitrary direction
3. Find first 2 vertices as min and max
4. Project **X** onto arbitrary direction orthogonal to all previously used directions
5. Find next vertex as unknown min or max
6. Repeat 4 and 5 until  $m$  vertices are found
7. Return corresponding spectra of **X** as endmembers
8. predict abundances by non-negative least squares [nnls] on found endmembers



## AVIRIS Cuprite Data

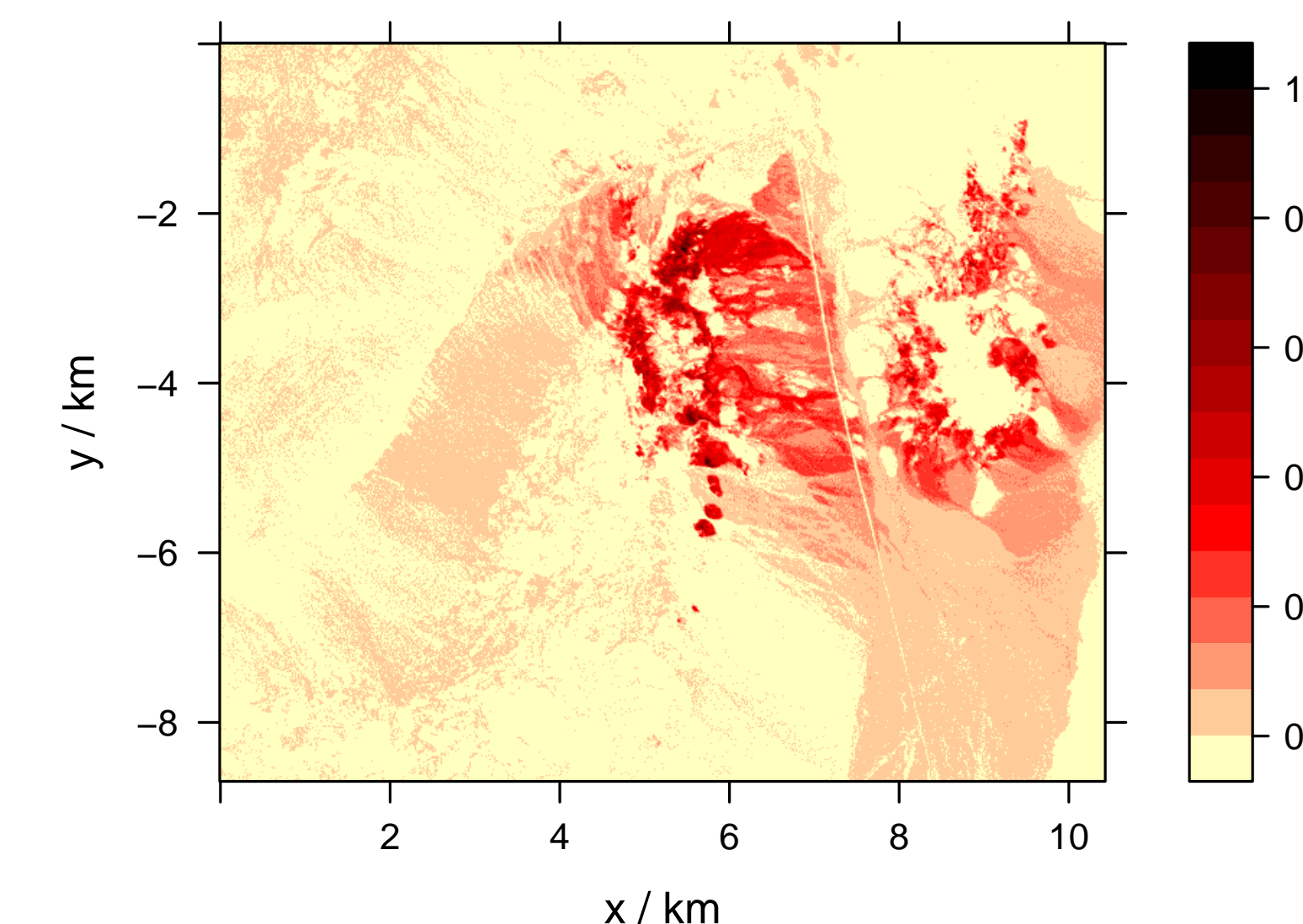
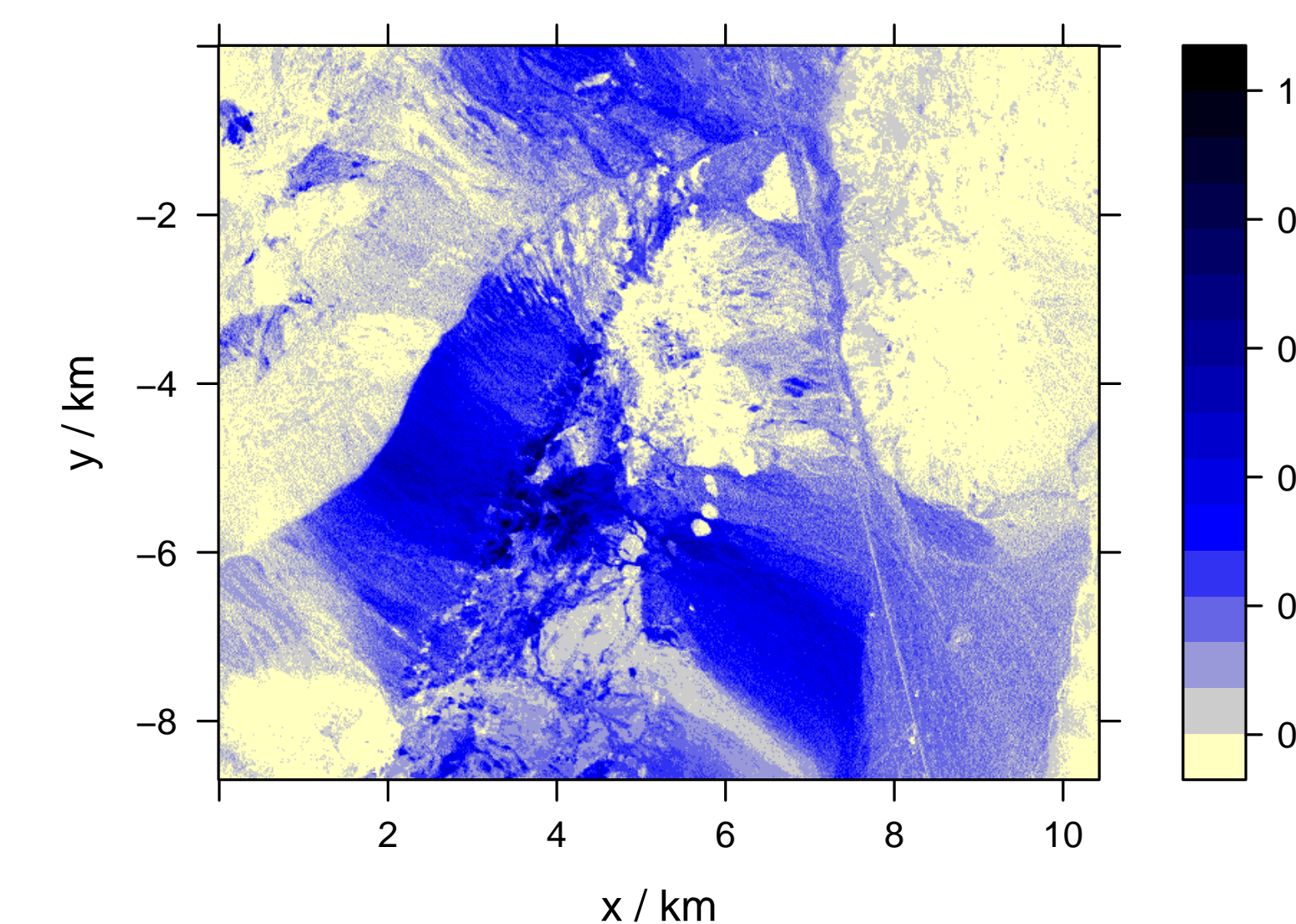
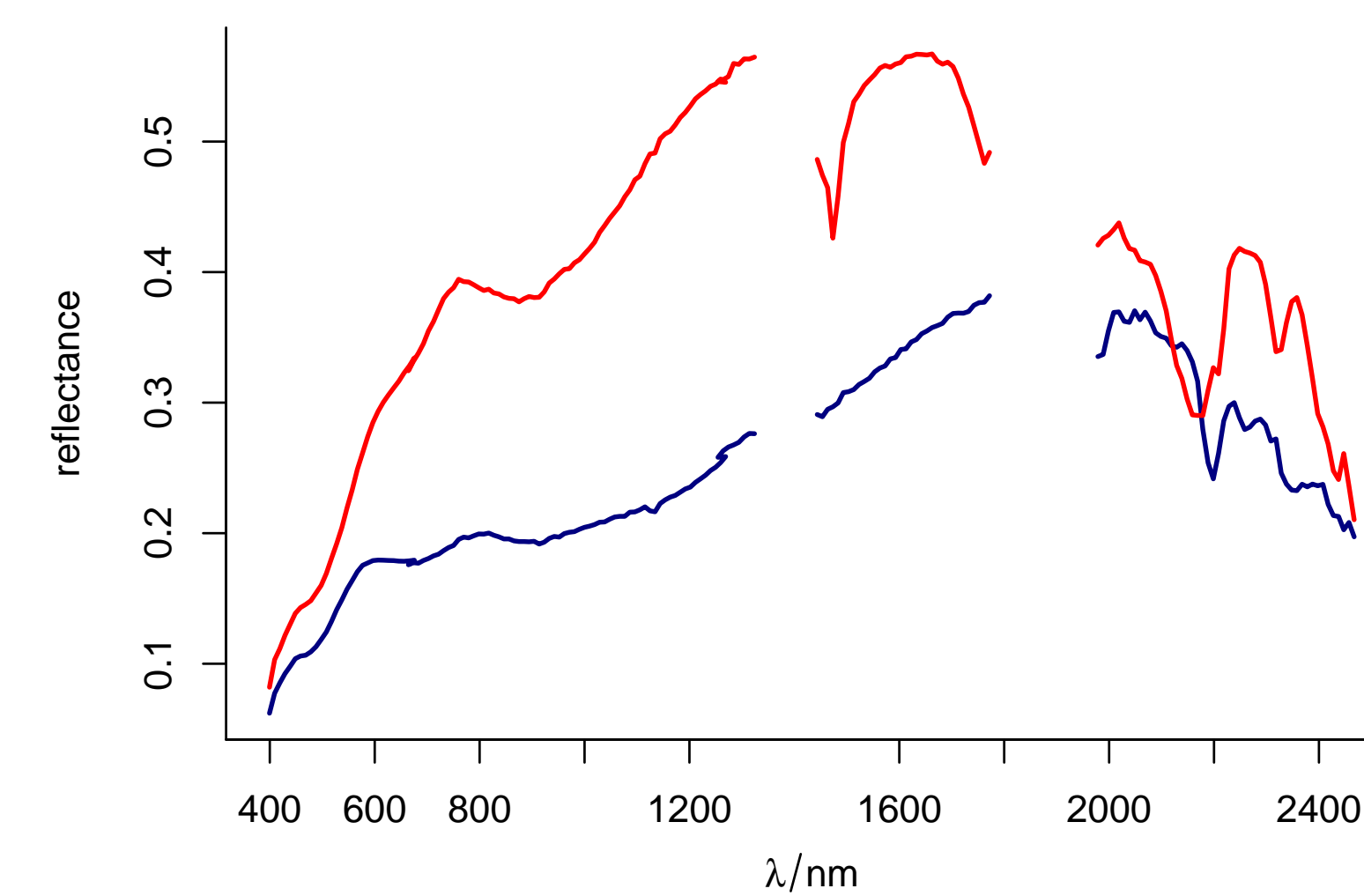
**Data Set:**

- Acquired by NASA's Airborne Visible/ InfraRed Imaging Spectrometer
- of mining region in the south of Nevada/USA
- $45 \times 10$  km (300 000 pixel subimage shown)
- 250 - 4 000 nm (224 spectral bands)
- Well-known ground truth

## N-FINDR with $m = 19$ endmembers

As example, we show 2 components identified as

- **muscovite** (mica,  $KAl_2(AlSi_3O_{10})(F,OH)_2$ ), and
- **alunite** (alumstone,  $KAl_3(SO_4)_2(OH)_6$ ).

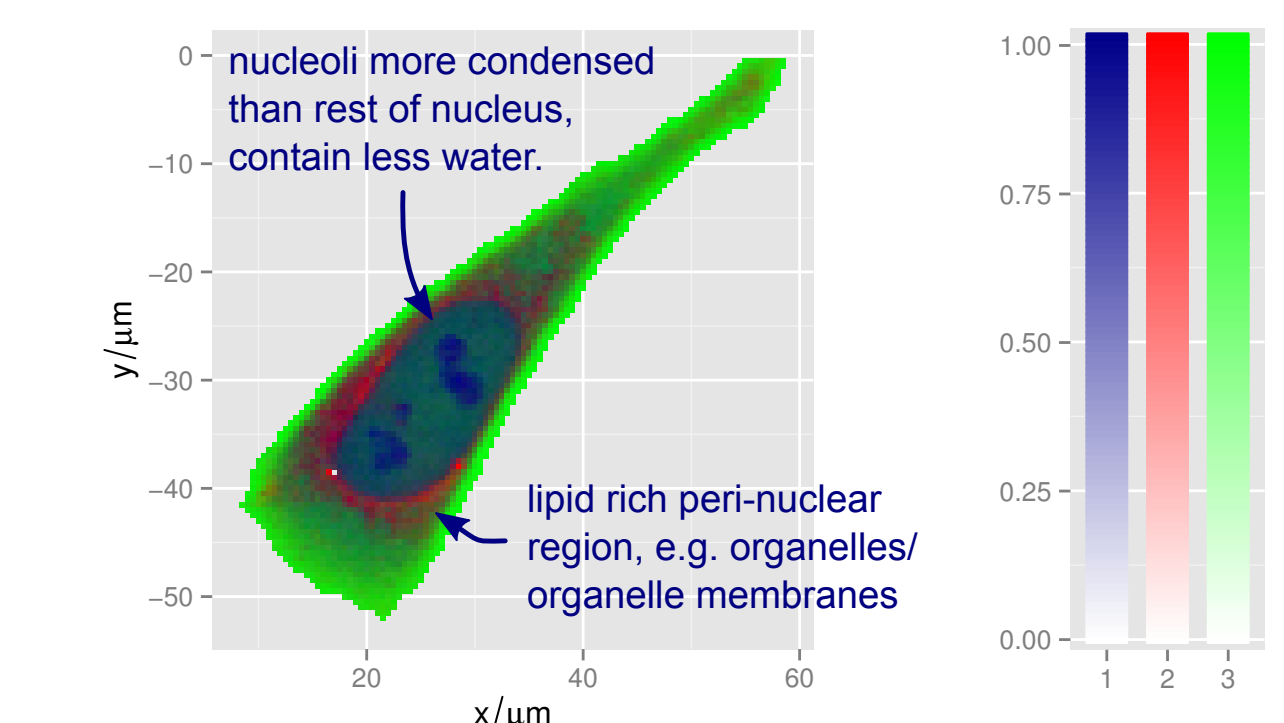
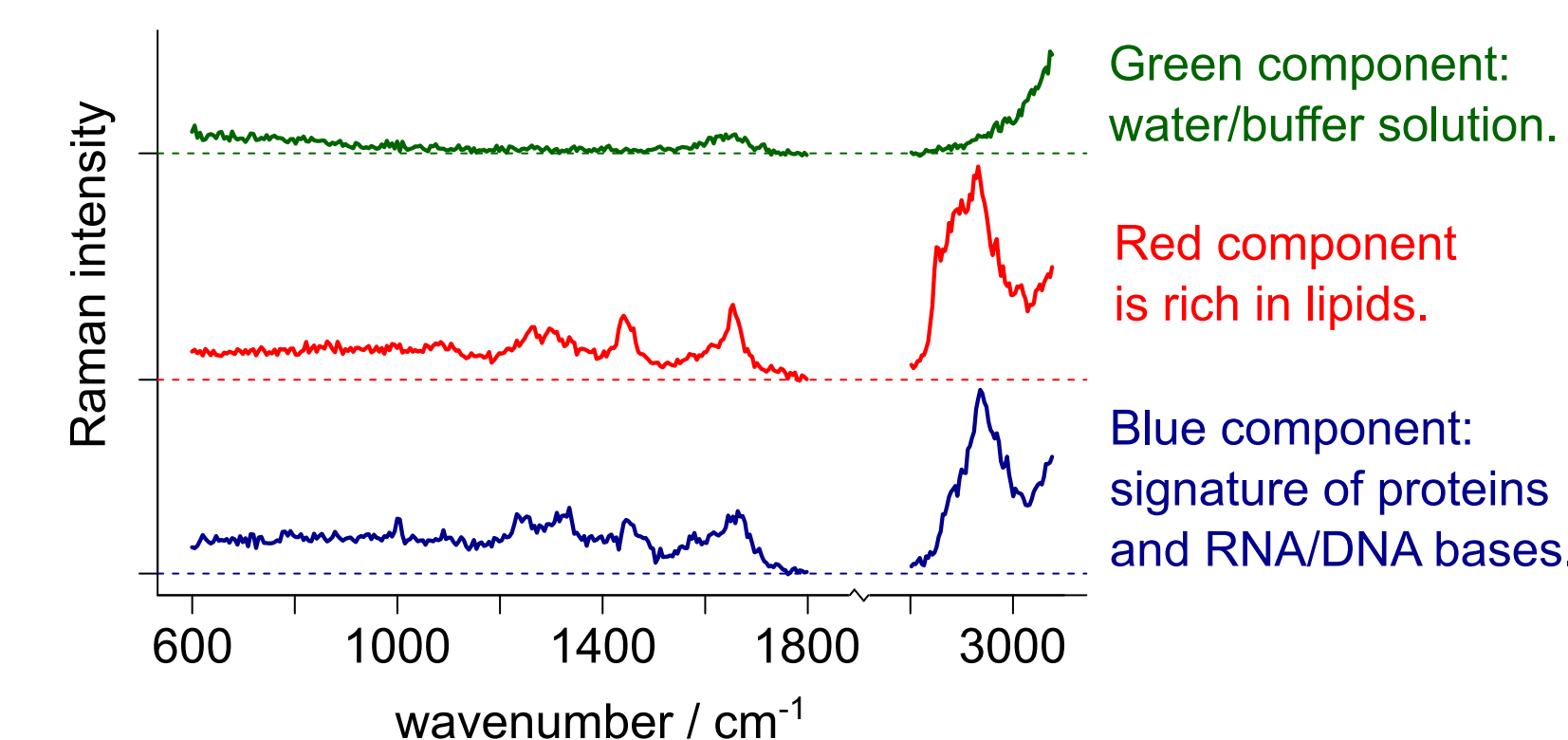


## Raman Image of HeLa Cell

**Data Set:**

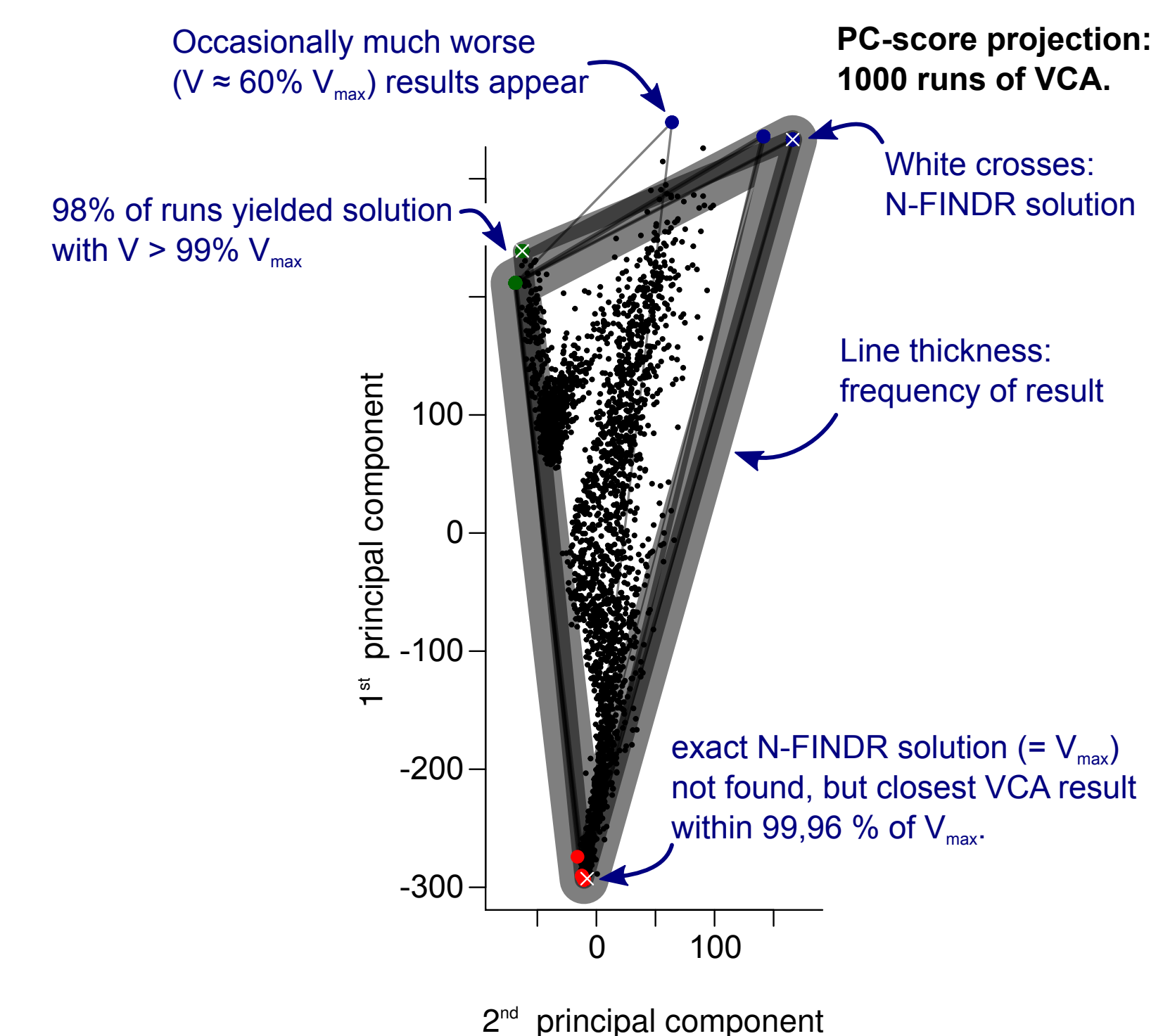
- Raman spectra of HeLa cell
- Excitation: 5 mW @ 488 nm, 0.5 s/spectrum
- Spectra: 600–1800 + 2800–3075  $cm^{-1}$ , 314 bands (after pre-processing)
- Area:  $60 \times 60 \mu m$ , step size  $0.5 \mu m$
- For details see reference [HeLa Cell].

## N-FINDR with $m = 3$ endmembers



- Solution is stable: Identical results for 100 runs with random initialization

## VCA Results $m = 3$ endmembers



- VCA is expected to be less stable than N-FINDR: no refinement of tentative vertices
- VCA faster than Winter's N-FINDR, but advantage small for improved algorithms.

## R package unmixR

Conor McManus implemented N-FINDR [Winter, Dowler] and VCA [Nascimento, Lopez] algorithms as R package unmixR. He was supervised by Claudia Beleites, Simon Fuller and Bryan Hanson.

Claudia Beleites now maintains the package with help by Bryan Hanson.

The package is available at <http://github.com/Chathurga/unmixR>

## References

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- HeLa Cell: Hedegaard M *et al.*: Spectral unmixing and clustering algorithms for assessment of single cells by Raman micro-spectroscopic imaging, Theor Chem Acc, 130, 1249–1260 (2011). DOI: 10.1007/s00214-011-0957-1

**hyperSpec:** a package to handle hyperspectral data sets in R, Beleites C & Sergo V, Ver. 0.98-20140612 (2014).

**nnls:** The Lawson-Hanson algorithm for non-negative least squares, Mullen KM & van Stokkum IHM, Ver. 1.4 (2012).

**lattice:** Multivariate Data Visualization with R, Sarkar D, Springer (2008). Ver. 0.20-29

**ggplot2:** Elegant Graphics for Data Analysis, Wickham H, Springer (2009). Ver. 1.0.0

## Acknowledgments

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